

October 15, 2019

## SPECIFICATION SHEET: CMV\_C1C2 Platform

Description: Category 1 and 2 Commercial Marine Vessel (cmv\_c1c2) emissions, for simulating 2016 and future year U.S. air quality

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### 1. EXECUTIVE SUMMARY

Commercial Marine Vessel (CMV) emissions for ships with Category 1 and Category 2 engines are modeled in the cmv\_c1c2 sector as hourly point sources. Category 2 (C2) and Category 1 (C1) engines are defined as having displacement below 30 liters per cylinder and greater than or equal to 7 liters per cylinder and below 7 liters per cylinder, respectively. The cmv\_c1c2 modeling sector includes emissions in U.S. state and federal waters and in surrounding areas of Canada, Mexico, and international waters. CMV C1C2 emissions were developed for the 2017 National Emission Inventory (NEI) based on Automated Identification System (AIS), a tracking system used by vessels to enhance navigation and avoid collision with other AIS transmitting vessels. The data were retrieved at 5-minute intervals, spatially allocated into gridded datasets, and summed into hourly point source emissions files for modeling. The year 2016 cmv\_c1c2 sector emissions were backcast from the 2017 NEI CMV emissions based on national U.S. entrance and clearance data. The 2017 NEI CMV emissions were also projected to 2023 and 2028 based on factors derived from the Locomotive and Marine rule Regulatory Impact

Assessment (RIA)<sup>1</sup>. Base and future year inventories were processed for air quality modeling with the Sparse Matrix Operating Kernel Emissions (SMOKE) modeling system version 4.7. National and state-level emission summaries for key pollutants are provided.

## **2. INTRODUCTION**

This document details the approach and data sources used for developing 2016, 2023, and 2028 emissions for the Commercial Marine Vessel, Category 1 and Category 2 sectors (cmv\_c1c2) inventory sector. The 2016 v1 platform cmv\_c1c2 inventory was backcast from the U.S EPA 2017 National Emission Inventory (NEI) data to represent the year 2016, although the emissions for the modeling platform are gridded and hourly.

The cmv\_c1c2 inventory sector contains small to medium-size engine CMV emissions. Category 1 (C1) and Category 2 (C2) marine diesel engines typically range in size from about 700 to 11,000 hp. These engines are used to provide propulsion power on many kinds of vessels including tugboats, towboats, supply vessels, fishing vessels, and other commercial vessels in and around ports. They are also used as stand-alone generators for auxiliary electrical power on many types of vessels. C1 represents engines up to 7 liters per cylinder displacement. C2 includes engines from 7 to 30 liters per cylinder.<sup>2</sup>

The cmv\_c1c2 inventory sector contains sources that traverse state and federal waters that are in the 2017NEI along with emissions from surrounding areas of Canada, Mexico, and international waters. The cmv\_c1c2 sources are modeled as point sources but using plume rise parameters that cause the emissions to be released in the ground layer of the air quality model.

The cmv\_c1c2 sources within state waters are identified in the inventory with the Federal Information Processing Standard (FIPS) county code for the state and county in which the vessel is registered. The cmv\_c1c2 sources that operate outside of state waters but within the Emissions Control Area (ECA) are encoded with a state FIPS code of 85. The ECA areas include parts of the Gulf of Mexico, and parts of the Atlantic and Pacific coasts. The cmv\_c1c2 sources in the 2016v1 inventory are categorized as operating either in-port or underway and as main and auxiliary engines are encoded using the two source classification codes (SCCs) listed in Table 1.

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<sup>1</sup> <https://www.epa.gov/regulations-emissions-vehicles-and-engines/final-rule-control-emissions-air-pollution-locomotive>

<sup>2</sup> <https://www.epa.gov/sites/production/files/2015-10/documents/fy12-marine-rule-flowchart.pdf>

**Table 1. 2016v1 platform SCCs for cmv\_c1c2 sector**

| SCC        | Tier 1 Description | Tier 2 Description | Tier 3 Description | Tier 4 Description |
|------------|--------------------|--------------------|--------------------|--------------------|
| 2280002101 | C1/C2              | Diesel             | Port               | Main               |
| 2280002102 | C1/C2              | Diesel             | Port               | Auxiliary          |
| 2280002201 | C1/C2              | Diesel             | Underway           | Main               |
| 2280002202 | C1/C2              | Diesel             | Underway           | Auxiliary          |

### 3. INVENTORY DEVELOPMENT METHODS

#### Core Inventory Development

Category 1 and 2 CMV emissions were developed for the 2017 NEI<sup>3</sup>. The 2017 NEI emissions were developed based signals from Automated Identification System (AIS) transmitters. AIS is a tracking system used by vessels to enhance navigation and avoid collision with other AIS transmitting vessels. The USEPA Office of Transportation and Air Quality received AIS data from USCG in order to quantify all ship activity which occurred between January 1 and December 31, 2017. The provided AIS data extends beyond 200 nautical miles from the U.S. coast (Figure 1). This boundary is roughly equivalent to the border of the U.S Exclusive Economic Zone and the North American Emission Control Area (ECA), although some non-ECA activity are captured as well.

The preprocessed data was compiled into five-minute intervals by the USCG, providing a reasonably refined assessment of a vessel's movement. For example, using a five-minute average, a vessel traveling at 25 knots would be captured every two nautical miles that the vessel travels. For slower moving vessels, the distance between transmissions would be less. The ability to track vessel movements through AIS data and link them to attribute data, has allowed for the development of an inventory of very accurate emission estimates. These AIS data were used to define the locations of individual vessel movements, estimate hours of operation, and quantify propulsion engine loads. The compiled AIS data also included the vessel's IMO number and Maritime Mobile Service Identifier (MMSI); which allowed each vessel to be matched to their characteristics obtained from the Clarksons ship registry (Clarksons, 2018).

USEPA used the engine bore and stroke data to calculate cylinder volume. Any vessel that had a calculated cylinder volume greater than 30 liters was incorporated into the USEPA's new Category 3 Commercial Marine Vessel (C3CMV) model. The remaining records were assumed to

<sup>3</sup> Category 1 and 2 Commercial Marine Vessel 2017 Emissions Inventory (ERG, 2019)

represent Category 1 and 2 (C1C2) or non-ship activity. The C1C2 AIS data were quality assured including the removal of duplicate messages, signals from pleasure craft, and signals that were not from CMV vessels (e.g., buoys, helicopters, and vessels that are not self-propelled). Following this, there were 422 million records remaining.

The emissions are calculated for each time interval between consecutive AIS messages for each vessel and allocated to the location of the message following to the interval. Emissions are calculated according to Equation 1.

$$Emissions_{interval} = Time (hr)_{interval} \times Power(kW) \times EF\left(\frac{g}{kWh}\right) \times LLAF \quad (1)$$

Power is calculated for the propulsive (main), auxiliary, and auxiliary boiler engines for each interval and emission factor (EF) reflects the assigned emission factors for each engine, as described below. LLAF represents the low load adjustment factor, a unitless factor which reflects increasing propulsive emissions during low load operations. Time indicates the activity duration time between consecutive intervals.

Next, vessels were identified in order determine their vessel type, and thus their vessel group, power rating, and engine tier information which are required for the emissions calculations. See the 2017 NEI documentation for more details on this process. Following the identification, 108 different vessel types were match to the C1 C2 vessels. Vessel attribute data was not available for all these vessel types, so the vessel types were aggregated into 16 different vessel groups for which surrogate data were available as shown in Table 2. 14,687 vessels were directly identified by their ship and cargo number. The remaining group of miscellaneous ships represent 14 percent of the AIS vessels (excluding recreational vessels) for which a specific vessel type could not be assigned.

**Table 2. Vessel groups in the cmv\_c1c2 sector**

| Vessel Group       | NEI Area Ship Count |
|--------------------|---------------------|
| Bulk Carrier       | 37                  |
| Commercial Fishing | 1,147               |
| Container Ship     | 7                   |
| Ferry Excursion    | 441                 |
| General Cargo      | 1,498               |
| Government         | 1,338               |
| Miscellaneous      | 1,475               |
| Offshore support   | 1,149               |
| Reefer             | 13                  |
| Ro Ro              | 26                  |
| Tanker             | 100                 |
| Tug                | 3,994               |

| Vessel Group        | NEI Area Ship Count |
|---------------------|---------------------|
| Work Boat           | 77                  |
| Total in Inventory: | 11,302              |

As shown in Equation (1), power is an important component of the emissions computation. Vessel-specific installed propulsive power ratings and service speeds were pulled from Clarkson’s ship registry and adopted from the Global Fishing Watch (GFW) dataset when available. However, there is limited vessel specific attribute data for most of the C1C2 2017 NEI fleet. This necessitated the use of surrogate engine power and load factors, which were computed for each vessel group shown in Table 2. In addition to those power required by propulsive engines, power needs for auxiliary engines were also computed for each vessel group. Emissions from main and auxiliary engines are inventoried with different SCCs as shown in Table 1.

The final components of the emissions computation equation are the emission factors and the low load adjustment factor. The emission factors used in this inventory take into consideration the EPA’s marine vessel fuel regulations as well as exhaust standards that are based on the year that the vessel was manufactured to determine the appropriate regulatory tier. Emission factors in g/kWhr by tier for NO<sub>x</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, CO, CO<sub>2</sub>, SO<sub>2</sub> and VOC were developed using Tables 3-7 through 3-10 in USEPA’s (2008) Regulatory Impact Analysis on engines less than 30 liters per cylinder. To compile these emissions factors, population-weighted average emission factor were calculated per tier based on C1C2 population distributions grouped by engine displacement. Boiler emission factors were obtained from an earlier Entec study (Entec, 2004). If the year of manufacture was unknown then it was assumed that the vessel was Tier 0, such that actual emissions may be less than those estimated in this inventory. Without more specific data, the magnitude of this emissions difference cannot be estimated.

Propulsive emissions from low-load operations were adjusted to account for elevated emission rates associated with activities outside the engines’ optimal operating range. The emission factor adjustments were applied by load and pollutant, based on the data compiled for the Port Everglades 2015 Emission Inventory (USEPA, 2018). Hazardous air pollutants and ammonia were added to the inventory according to multiplicative factors applied either to VOC or PM<sub>2.5</sub>.

For more information on the emission computations for 2017, see the supporting documentation for the 2017 NEI C1C2 CMV emissions. The emissions from the 2017 NEI were adjusted to represent 2016 in the cmv\_c1c2 sector using factors derived from national vessel entrance and clearance data by applying a factor of 0.98 to all pollutants. For consistency, the same methods were used for California, Canadian, and other non-U.S. emissions.

## **Spatial Allocation**

For the 2017NEI, emissions data were computed at 5 minute intervals. They were then adjusted to 2016 levels by multiplying by 0.98, gridded and converted into a pseudo-point inventory where each point is the center of a grid cell, and the emissions in that cell are the sum of the emissions in the area covered by the grid cell. The stack parameters used for cmv\_c1c2 are a stack height of 1 ft, stack diameter of 1 ft, stack temperature of 70°F, and a stack velocity of 0.1 ft/s. These parameters force emissions into layer 1. The data were processed on the various grids as shown in Figures 1 through 5 at the end of this document, including grids around Alaska, Hawaii, Puerto Rico, and the Virgin Islands.

## **Temporal Allocation**

The 2017NEI C1C2 CMV data were aggregated up to hourly data from 5 minute interval data to hourly levels, therefore no temporal profiles were applied. A corresponding annual data file was also developed as required by SMOKE for processing hourly point emissions. Because the AIS data were for 2017 and not 2016, analyses were performed to determine whether it would be appropriate to preserve the appropriate days-of-week with respect to 2016. The analyses revealed that there were not strong weekday-weekend signals in the data, therefore days of week were not preserved in the 2016 inventory. However, emissions for February 28, 2017 were duplicated to represent February 29, 2016.

## **Chemical Speciation**

The cmv\_c1c2 sector includes emissions for particulate matter < 2.5  $\mu\text{m}$  (PM<sub>2.5</sub>), oxides of nitrogen (NO<sub>x</sub>), and VOC, among other criteria pollutants. These three inventory pollutants must be converted to air quality modeling species through an emissions processing step referred to as “chemical speciation”. The U.S. EPA SPECIATE<sup>4</sup> database was used to develop factors to map the inventory species to the chemical species required for air quality modeling. All of the emissions in the cmv\_c1c2 sector were assigned the PM<sub>2.5</sub> speciation profile 91106 (HDDV Diesel) and the NONHAPTOG speciation profile 2480 (Industrial Cluster, Ship Channel, Downwind Sample). The components of these profiles are shown in Table 3 and Table 4. Note that because the entire cmv\_c1c2 sector is integrated, the NONHAPTOG profile is used instead of the VOC profile. The VOC-to-TOG conversion factor for profiles 2480 is 1.033. In the profile, SOAALK is an extra tracer, so the factors sum to 1.0 if SOAALK is excluded from the sum. The cmv\_c1c2 NO<sub>x</sub> emissions were speciated using a 90:9.2:0.8 split for NO:NO<sub>2</sub>:HONO.

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<sup>4</sup> <https://www.epa.gov/air-emissions-modeling/speciate-version-45-through-40>

**Table 3. PM2.5 Speciation Profile 91106**

| Species | Factor   |
|---------|----------|
| PCA     | 0.000583 |
| PCL     | 0.000205 |
| PEC     | 0.7712   |
| PFE     | 0.000262 |
| PK      | 0.000038 |
| PMOTHR  | 0.004091 |
| PNCOM   | 0.0439   |
| PNO3    | 0.001141 |
| POC     | 0.1756   |
| PSO4    | 0.00295  |
| PTI     | 0.000004 |

**Table 4. NONHAPTOG Speciation Profile 2480**

| Species | Factor  | Molecular weight |
|---------|---------|------------------|
| ETH     | 0.0149  | 28.0532          |
| ETHA    | 0.0321  | 30.069           |
| ETHY    | 0.0218  | 26.0373          |
| IOLE    | 0.0119  | 56.2694          |
| ISOP    | 0.00957 | 68.117           |
| OLE     | 0.0308  | 29.0229          |
| PAR     | 0.5584  | 15.0347          |
| PRPA    | 0.0363  | 44.0956          |
| SOAALK  | 0.2244  | 81.5503          |
| TOL     | 0.1114  | 96.4914          |
| UNR     | 0.0571  | 16.3928          |
| XYLMN   | 0.1157  | 110.2229         |

## 4. EMISSIONS PROJECTION METHODS

The cmv\_c1c2 emissions outside of California were projected from 2016 to 2023 and 2028 using factors derived from the Regulatory Impact Analysis (RIA) Control of Emissions of Air Pollution from Locomotive Engines and Marine Compression Ignition Engines Less than 30 Liters per Cylinder<sup>4</sup>. Table 5 lists the pollutant-specific projection factors to 2023, and 2028 that were used for cmv\_c1c2 sources outside of California. California sources were projected to 2023 and 2028 using the factors in Table 6, which are based on data provided by CARB.

**Table 5. National projection factors for cmv\_c1c2**

| Pollutant | 2016-to-2023 | 2016-to-2028 |
|-----------|--------------|--------------|
| CO        | -2.67%       | -1.11%       |
| NOX       | -34.6%       | -48.7%       |
| PM10      | -36.2%       | -49.6%       |
| PM2.5     | -36.2%       | -49.6%       |
| SO2       | -86.2%       | -86.5%       |
| VOC       | -37.0%       | -51.4%       |

**Table 6. California projection factors for cmv\_c1c2**

| Pollutant | 2016-to-2023 | 2016-to-2028 |
|-----------|--------------|--------------|
| CO        | 20.1%        | 25.3%        |
| NOX       | -29.3%       | -17.7%       |
| PM10      | -29.9%       | -33.5%       |
| PM2.5     | -29.9%       | -33.5%       |
| SO2       | 24.1%        | 48.7%        |
| VOC       | 1.5%         | 1.9%         |

## 5. EMISSIONS PROCESSING REQUIREMENTS

CMV\_c1c2 emissions were processed for air quality modeling as hourly point source emissions using the Sparse Matrix Operator Kernel Emissions (SMOKE<sup>5</sup>) modeling system. Because data are hourly, every day was processed. The cmv\_c1c2 sector was processed through SMOKE as pseudo point sources. This is a point sector with all sources treated as elevated sources.

## 6. EMISSIONS SUMMARIES

Table 7 compares annual, national total cmv\_c1c2 emissions for the 2016 v1 platform to cmv\_c1c2 emissions from previous modeling platforms. Table 8 provides a national comparison by SCC for state and federal waters. Table 9 and Table 10 show comparisons for state total cmv\_c1c2 NOx and VOC emissions, respectively. Figures 1 through 4 are gridded emissions plots of annual total NOx on various grids. Additional cmv\_c1c2 plots and maps are available online through the LADCO website<sup>6</sup> and the Intermountain West Data Warehouse<sup>7</sup>.

<sup>5</sup> <http://www.smoke-model.org/index.cfm>

<sup>6</sup> <https://www.ladco.org/technical/modeling-results/2016-inventory-collaborative/>

<sup>7</sup> <http://views.cira.colostate.edu/iwdw/eibrowser2016>



For summary purposes all CONUS and near-CONUS federal values come from the 12US1 domain. It is noted that the 12US1 domain slightly cuts off the southern Pacific federal waters on the western boundary, so there may be discrepancies versus the NEI.

All Hawaiian CMV emissions, including FIPS 85006, come from the 3HI1 inventory. There may be discrepancies versus the NEI because the extent of the 3HI1 inventory does not match the totality of the area of the federal waters.

Alaska state water emissions come from the 9AK1 domain inventories except for FIPS 02016, which comes from the 27AK1. All of the AK federal waters, FIPS 85005, comes from the 27AK1 inventory. Both FIPS 02016 and 85005 should be removed from any 9AK1 summaries.

The entirety of the PR/VI emissions comes from the 3PR1 inventory.

The 36US3 domain overlaps with both AK domains and the PR/VI domain. FIPS 02\*, 72\*, 78\*, 85005, and 85007 should be removed from 36US3 summaries when used for comparison purposes.

Descriptions of the emissions platform cases shown in the tables and plots below are as follows:

2014fd = 2014NEIv2 and 2014 NATA

2016fe = 2016 alpha platform (grown from 2014NEIv2)

2016ff, 2023ff, and 2028ff = 2016, 2023, and 2028 cases from the 2016 beta platform

2016fh, 2023fh, and 2028fh = 2016, 2023, and 2028 cases from the 2016 v1 platform

**Table 7. Comparison of national total annual CAPs cmv\_c1c2 emissions (tons/yr)**

| Pollutant | 2014fd  | 2016fe  | 2016ff  | 2016fh  | 2023ff  | 2023fh  | 2028ff  | 2028fh  |
|-----------|---------|---------|---------|---------|---------|---------|---------|---------|
| CO        | 116,080 | 116,080 | 114,782 | 30,563  | 114,431 | 30,492  | 116,593 | 31,036  |
| NH3       | 334     | 334     | 335     | 0       | 336     | 0       | 337     | 0       |
| NOX       | 609,605 | 609,605 | 564,394 | 208,692 | 399,745 | 148,996 | 315,434 | 118,344 |
| PM10      | 17,321  | 17,321  | 15,445  | 5,673   | 11,113  | 4,063   | 8,932   | 3,237   |
| PM2.5     | 16,670  | 16,670  | 14,864  | 5,499   | 10,695  | 3,938   | 8,592   | 3,138   |
| SO2       | 5,788   | 579     | 3,159   | 721     | 2,208   | 272     | 2,392   | 274     |
| VOC       | 10,814  | 10,814  | 10,080  | 8,158   | 7,406   | 5,706   | 6,183   | 4,482   |

**Table 8. Comparison of national total annual CAPS cmv\_c1c2 emissions by SCC (tons/yr)**

| Region            | Pollutant | SCC        | SCC Description           | 2016fh | 2023fh | 2028fh |
|-------------------|-----------|------------|---------------------------|--------|--------|--------|
| US State Waters   | CO        | 2280002101 | Port Emissions - Main     | 179    | 183    | 187    |
| US State Waters   | CO        | 2280002102 | Port Emissions - Aux      | 3,416  | 3,447  | 3,515  |
| US State Waters   | CO        | 2280002201 | Underway Emissions - Main | 7,713  | 7,683  | 7,818  |
| US State Waters   | CO        | 2280002202 | Underway Emissions - Aux  | 13,374 | 13,375 | 13,619 |
| US Federal Waters | CO        | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |

| Region            | Pollutant | SCC        | SCC Description           | 2016fh | 2023fh | 2028fh |
|-------------------|-----------|------------|---------------------------|--------|--------|--------|
| US Federal Waters | CO        | 2280002102 | Port Emissions - Aux      | 18     | 18     | 18     |
| US Federal Waters | CO        | 2280002201 | Underway Emissions - Main | 3,347  | 3,304  | 3,357  |
| US Federal Waters | CO        | 2280002202 | Underway Emissions - Aux  | 2,514  | 2,482  | 2,522  |
| US State Waters   | NH3       | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |
| US State Waters   | NH3       | 2280002102 | Port Emissions - Aux      | 0      | 0      | 0      |
| US State Waters   | NH3       | 2280002201 | Underway Emissions - Main | 0      | 0      | 0      |
| US State Waters   | NH3       | 2280002202 | Underway Emissions - Aux  | 0      | 0      | 0      |
| US Federal Waters | NH3       | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |
| US Federal Waters | NH3       | 2280002102 | Port Emissions - Aux      | 0      | 0      | 0      |
| US Federal Waters | NH3       | 2280002201 | Underway Emissions - Main | 0      | 0      | 0      |
| US Federal Waters | NH3       | 2280002202 | Underway Emissions - Aux  | 0      | 0      | 0      |
| US State Waters   | NOX       | 2280002101 | Port Emissions - Main     | 2,137  | 1,554  | 1,265  |
| US State Waters   | NOX       | 2280002102 | Port Emissions - Aux      | 21,705 | 15,667 | 12,629 |
| US State Waters   | NOX       | 2280002201 | Underway Emissions - Main | 60,543 | 43,144 | 34,181 |
| US State Waters   | NOX       | 2280002202 | Underway Emissions - Aux  | 85,660 | 61,307 | 48,858 |
| US Federal Waters | NOX       | 2280002101 | Port Emissions - Main     | 3      | 2      | 2      |
| US Federal Waters | NOX       | 2280002102 | Port Emissions - Aux      | 114    | 81     | 63     |
| US Federal Waters | NOX       | 2280002201 | Underway Emissions - Main | 22,645 | 16,010 | 12,545 |
| US Federal Waters | NOX       | 2280002202 | Underway Emissions - Aux  | 15,885 | 11,231 | 8,800  |
| US State Waters   | PM10      | 2280002101 | Port Emissions - Main     | 72     | 52     | 42     |
| US State Waters   | PM10      | 2280002102 | Port Emissions - Aux      | 571    | 409    | 329    |
| US State Waters   | PM10      | 2280002201 | Underway Emissions - Main | 1,740  | 1,246  | 991    |
| US State Waters   | PM10      | 2280002202 | Underway Emissions - Aux  | 2,272  | 1,627  | 1,299  |
| US Federal Waters | PM10      | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |
| US Federal Waters | PM10      | 2280002102 | Port Emissions - Aux      | 3      | 2      | 2      |
| US Federal Waters | PM10      | 2280002201 | Underway Emissions - Main | 602    | 431    | 341    |
| US Federal Waters | PM10      | 2280002202 | Underway Emissions - Aux  | 413    | 296    | 234    |
| US State Waters   | PM2.5     | 2280002101 | Port Emissions - Main     | 70     | 50     | 41     |
| US State Waters   | PM2.5     | 2280002102 | Port Emissions - Aux      | 554    | 396    | 319    |
| US State Waters   | PM2.5     | 2280002201 | Underway Emissions - Main | 1,688  | 1,209  | 961    |
| US State Waters   | PM2.5     | 2280002202 | Underway Emissions - Aux  | 2,201  | 1,576  | 1,259  |
| US Federal Waters | PM2.5     | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |
| US Federal Waters | PM2.5     | 2280002102 | Port Emissions - Aux      | 3      | 2      | 2      |
| US Federal Waters | PM2.5     | 2280002201 | Underway Emissions - Main | 584    | 418    | 330    |
| US Federal Waters | PM2.5     | 2280002202 | Underway Emissions - Aux  | 400    | 287    | 226    |
| US State Waters   | SO2       | 2280002101 | Port Emissions - Main     | 1      | 0      | 0      |
| US State Waters   | SO2       | 2280002102 | Port Emissions - Aux      | 112    | 47     | 48     |
| US State Waters   | SO2       | 2280002201 | Underway Emissions - Main | 31     | 12     | 12     |
| US State Waters   | SO2       | 2280002202 | Underway Emissions - Aux  | 504    | 187    | 188    |
| US Federal Waters | SO2       | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |
| US Federal Waters | SO2       | 2280002102 | Port Emissions - Aux      | 0      | 0      | 0      |
| US Federal Waters | SO2       | 2280002201 | Underway Emissions - Main | 15     | 5      | 5      |

| Region            | Pollutant | SCC        | SCC Description           | 2016fh | 2023fh | 2028fh |
|-------------------|-----------|------------|---------------------------|--------|--------|--------|
| US Federal Waters | SO2       | 2280002202 | Underway Emissions - Aux  | 59     | 20     | 20     |
| US State Waters   | VOC       | 2280002101 | Port Emissions - Main     | 200    | 145    | 117    |
| US State Waters   | VOC       | 2280002102 | Port Emissions - Aux      | 665    | 477    | 384    |
| US State Waters   | VOC       | 2280002201 | Underway Emissions - Main | 3,307  | 2,303  | 1,802  |
| US State Waters   | VOC       | 2280002202 | Underway Emissions - Aux  | 2,526  | 1,781  | 1,408  |
| US Federal Waters | VOC       | 2280002101 | Port Emissions - Main     | 0      | 0      | 0      |
| US Federal Waters | VOC       | 2280002102 | Port Emissions - Aux      | 3      | 2      | 2      |
| US Federal Waters | VOC       | 2280002201 | Underway Emissions - Main | 958    | 657    | 506    |
| US Federal Waters | VOC       | 2280002202 | Underway Emissions - Aux  | 498    | 341    | 263    |

Table 9. Comparison of state total annual NOx cmv\_c1c2 emissions (tons/yr)

| State          | 2014fd | 2016fe | 2016ff | 2016fh | 2023ff | 2023fh | 2028ff | 2028fh |
|----------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Alabama        | 9,228  | 9,228  | 8,542  | 3,470  | 6,039  | 2,453  | 4,731  | 1,922  |
| Alaska         | 29,294 | 29,294 | 27,116 | 3,964  | 19,172 | 2,803  | 15,020 | 2,196  |
| Arkansas       | 1,727  | 1,727  | 1,598  | 2,267  | 1,130  | 1,602  | 885    | 1,256  |
| California     | 20,182 | 20,182 | 18,808 | 10,142 | 13,999 | 8,621  | 13,227 | 8,347  |
| Connecticut    | 1,096  | 1,096  | 1,015  | 1,723  | 717    | 1,218  | 562    | 955    |
| Delaware       | 860    | 860    | 796    | 1,091  | 563    | 771    | 441    | 604    |
| D.C.           | 0      | 0      | 0      | 161    | 0      | 114    | 0      | 89     |
| Florida        | 16,786 | 16,786 | 15,537 | 8,390  | 10,985 | 5,932  | 8,606  | 4,648  |
| Georgia        | 1,468  | 1,468  | 1,359  | 1,084  | 961    | 766    | 753    | 600    |
| Hawaii         | 372    | 372    | 344    | 1,612  | 244    | 1,139  | 191    | 893    |
| Idaho          |        |        |        |        |        |        |        |        |
| Illinois       | 16,515 | 16,515 | 15,287 | 5,455  | 10,808 | 3,856  | 8,468  | 3,022  |
| Indiana        | 5,655  | 5,655  | 5,235  | 1,513  | 3,701  | 1,069  | 2,900  | 838    |
| Iowa           | 2,770  | 2,770  | 2,564  | 418    | 1,813  | 295    | 1,420  | 232    |
| Kansas         | 16     | 16     | 15     | 0      | 10     | 0      | 8      | 0      |
| Kentucky       | 13,567 | 13,567 | 12,558 | 4,694  | 8,879  | 3,318  | 6,956  | 2,600  |
| Louisiana      | 30,672 | 30,672 | 28,391 | 33,349 | 20,073 | 23,578 | 15,726 | 18,476 |
| Maine          | 2,204  | 2,204  | 2,040  | 2,528  | 1,443  | 1,788  | 1,130  | 1,401  |
| Maryland       | 598    | 598    | 554    | 3,859  | 391    | 2,728  | 307    | 2,138  |
| Massachusetts  | 13,046 | 13,046 | 12,075 | 4,101  | 8,538  | 2,899  | 6,689  | 2,272  |
| Michigan       | 28,218 | 28,218 | 26,119 | 4,028  | 18,467 | 2,848  | 14,468 | 2,232  |
| Minnesota      | 2,868  | 2,868  | 2,655  | 729    | 1,877  | 516    | 1,471  | 404    |
| Mississippi    | 7,110  | 7,110  | 6,581  | 3,498  | 4,653  | 2,473  | 3,645  | 1,938  |
| Missouri       | 12,912 | 12,912 | 11,952 | 2,577  | 8,450  | 1,822  | 6,620  | 1,428  |
| Montana        | 0      | 0      | 0      |        | 0      |        | 0      |        |
| Nebraska       | 1      | 1      | 1      |        | 1      |        | 0      |        |
| New Hampshire  | 37     | 37     | 34     | 208    | 24     | 147    | 19     | 115    |
| New Jersey     | 7,644  | 7,644  | 7,076  | 9,035  | 5,003  | 6,387  | 3,919  | 5,005  |
| New York       | 8,995  | 8,995  | 8,326  | 4,787  | 5,887  | 3,384  | 4,612  | 2,652  |
| North Carolina | 2,718  | 2,718  | 2,516  | 3,684  | 1,779  | 2,604  | 1,394  | 2,041  |
| Ohio           | 8,055  | 8,055  | 7,456  | 2,218  | 5,272  | 1,568  | 4,130  | 1,229  |

| State           | 2014fd  | 2016fe  | 2016ff  | 2016fh | 2023ff  | 2023fh | 2028ff  | 2028fh |
|-----------------|---------|---------|---------|--------|---------|--------|---------|--------|
| Oklahoma        | 347     | 347     | 322     | 346    | 227     | 245    | 178     | 192    |
| Oregon          | 1,435   | 1,435   | 1,329   | 2,430  | 939     | 1,718  | 736     | 1,346  |
| Pennsylvania    | 846     | 846     | 783     | 1,644  | 554     | 1,162  | 434     | 911    |
| Rhode Island    | 3,473   | 3,473   | 3,215   | 1,328  | 2,273   | 939    | 1,781   | 736    |
| South Carolina  | 1,604   | 1,604   | 1,485   | 1,464  | 1,050   | 1,035  | 822     | 811    |
| Tennessee       | 3,912   | 3,912   | 3,621   | 2,709  | 2,560   | 1,915  | 2,006   | 1,501  |
| Texas           | 15,465  | 15,465  | 14,315  | 17,950 | 10,121  | 12,691 | 7,929   | 9,944  |
| Utah            | 1       | 1       | 1       |        | 0       |        | 0       |        |
| Vermont         | 15      | 15      | 14      | 2      | 10      | 1      | 8       | 1      |
| Virginia        | 2,116   | 2,116   | 1,959   | 5,951  | 1,385   | 4,207  | 1,085   | 3,297  |
| Washington      | 7,038   | 7,038   | 6,515   | 10,028 | 4,606   | 7,090  | 3,609   | 5,556  |
| West Virginia   | 3,511   | 3,511   | 3,250   | 1,701  | 2,298   | 1,203  | 1,800   | 943    |
| Wisconsin       | 5,625   | 5,625   | 5,206   | 1,472  | 3,681   | 1,041  | 2,884   | 816    |
| Puerto Rico     | 956     | 956     | 885     | 1,200  | 626     | 848    | 490     | 665    |
| Virgin Islands  | 200     | 200     | 186     | 1,236  | 131     | 874    | 103     | 685    |
| Offshore to EEZ | 318,444 | 318,444 | 294,761 | 38,647 | 208,405 | 27,324 | 163,272 | 21,411 |

Table 10. Comparison of state total annual SO2 cmv\_c1c2 emissions (tons/yr)

| State         | 2014fd | 2016fe | 2016ff | 2016fh | 2023ff | 2023fh | 2028ff | 2028fh |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Alabama       | 10     | 1      | 4      | 16     | 1      | 5      | 1      | 5      |
| Alaska        | 16     | 2      | 7      | 8      | 2      | 3      | 2      | 3      |
| Arkansas      | 1      | 0      | 0      | 9      | 0      | 3      | 0      | 3      |
| California    | 1,329  | 133    | 1,387  | 24     | 1,593  | 30     | 1,788  | 36     |
| Connecticut   | 1      | 0      | 0      | 2      | 0      | 1      | 0      | 1      |
| Delaware      | 84     | 8      | 34     | 3      | 12     | 1      | 11     | 1      |
| D.C.          | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 0      |
| Florida       | 85     | 9      | 34     | 21     | 12     | 7      | 12     | 7      |
| Georgia       | 2      | 0      | 1      | 3      | 0      | 1      | 0      | 1      |
| Hawaii        | 5      | 0      | 2      | 2      | 1      | 1      | 1      | 1      |
| Idaho         |        |        |        |        |        |        |        |        |
| Illinois      | 1,591  | 159    | 632    | 20     | 219    | 7      | 215    | 7      |
| Indiana       | 0      | 0      | 0      | 9      | 0      | 3      | 0      | 3      |
| Iowa          | 1      | 0      | 0      | 1      | 0      | 0      | 0      | 0      |
| Kansas        | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 0      |
| Kentucky      | 6      | 1      | 3      | 19     | 1      | 7      | 1      | 7      |
| Louisiana     | 52     | 5      | 20     | 178    | 7      | 62     | 7      | 61     |
| Maine         | 6      | 1      | 2      | 4      | 1      | 1      | 1      | 1      |
| Maryland      | 1      | 0      | 1      | 4      | 0      | 2      | 0      | 2      |
| Massachusetts | 8      | 1      | 3      | 8      | 1      | 3      | 1      | 3      |
| Michigan      | 15     | 2      | 6      | 10     | 2      | 4      | 2      | 4      |
| Minnesota     | 1      | 0      | 0      | 4      | 0      | 1      | 0      | 1      |
| Mississippi   | 4      | 0      | 2      | 13     | 1      | 5      | 1      | 5      |
| Missouri      | 1      | 0      | 0      | 6      | 0      | 2      | 0      | 2      |
| Montana       | 0      | 0      | 0      |        | 0      |        | 0      |        |

| State           | 2014fd | 2016fe | 2016ff | 2016fh | 2023ff | 2023fh | 2028ff | 2028fh |
|-----------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Nebraska        | 0      | 0      | 0      |        | 0      |        | 0      |        |
| New Hampshire   | 2      | 0      | 1      | 0      | 0      | 0      | 0      | 0      |
| New Jersey      | 68     | 7      | 27     | 21     | 9      | 7      | 9      | 7      |
| New York        | 12     | 1      | 5      | 18     | 2      | 6      | 2      | 6      |
| North Carolina  | 2      | 0      | 1      | 7      | 0      | 2      | 0      | 2      |
| Ohio            | 10     | 1      | 4      | 9      | 1      | 3      | 1      | 3      |
| Oklahoma        | 0      | 0      | 0      | 2      | 0      | 1      | 0      | 1      |
| Oregon          | 3      | 0      | 1      | 5      | 0      | 2      | 0      | 2      |
| Pennsylvania    | 1      | 0      | 0      | 8      | 0      | 3      | 0      | 3      |
| Rhode Island    | 2      | 0      | 1      | 3      | 0      | 1      | 0      | 1      |
| South Carolina  | 1      | 0      | 1      | 3      | 0      | 1      | 0      | 1      |
| Tennessee       | 2      | 0      | 1      | 9      | 0      | 3      | 0      | 3      |
| Texas           | 92     | 9      | 37     | 155    | 13     | 54     | 13     | 53     |
| Utah            | 0      | 0      | 0      |        | 0      |        | 0      |        |
| Vermont         | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 0      |
| Virginia        | 3      | 0      | 1      | 10     | 0      | 3      | 0      | 3      |
| Washington      | 51     | 5      | 20     | 17     | 7      | 6      | 7      | 6      |
| West Virginia   | 2      | 0      | 1      | 9      | 0      | 3      | 0      | 3      |
| Wisconsin       | 2      | 0      | 1      | 3      | 0      | 1      | 0      | 1      |
| Puerto Rico     | 38     | 4      | 15     | 2      | 5      | 1      | 5      | 1      |
| Virgin Islands  | 24     | 2      | 9      | 3      | 3      | 1      | 3      | 1      |
| Offshore to EEZ | 2,252  | 225    | 894    | 74     | 310    | 26     | 305    | 25     |

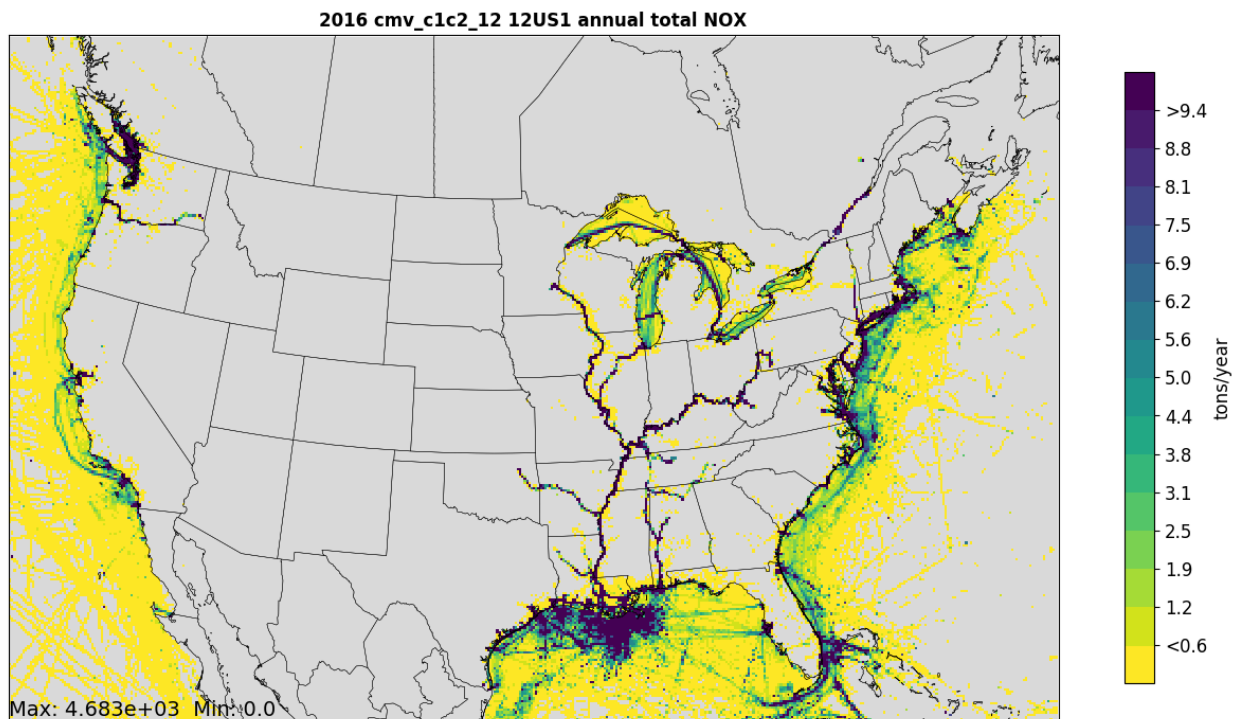


Figure 1. 12-km Gridded Annual CONUS cmv\_c1c2 NOx Emissions

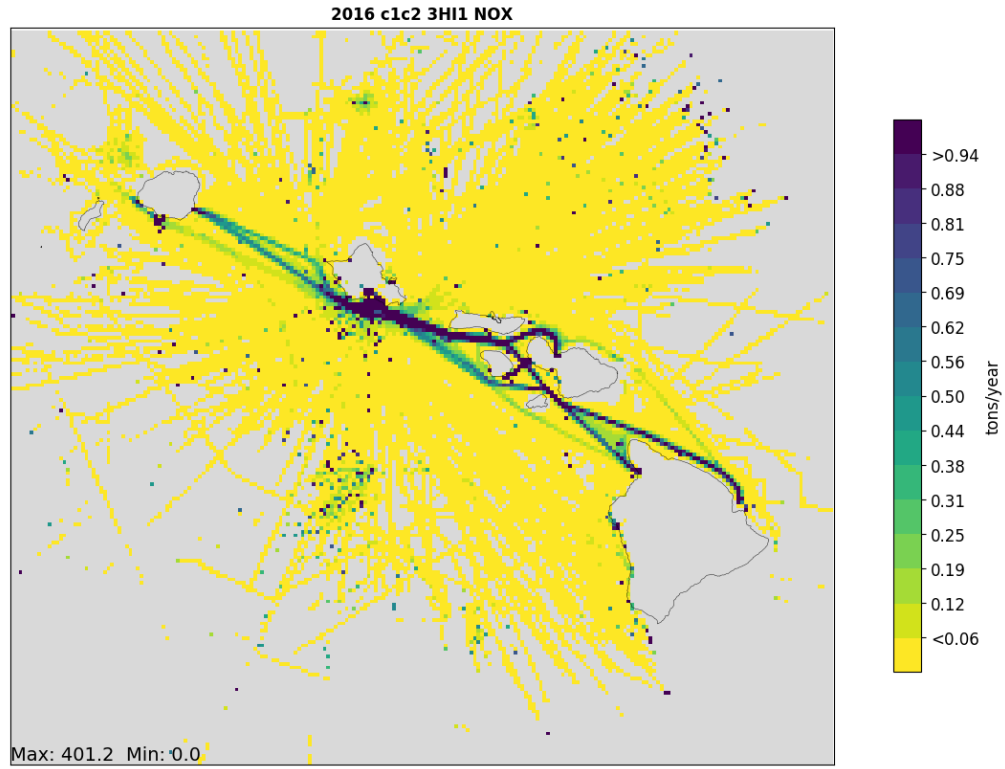


Figure 2. 3-km Gridded Annual Hawaii cmv\_c1c2 NOx Emissions

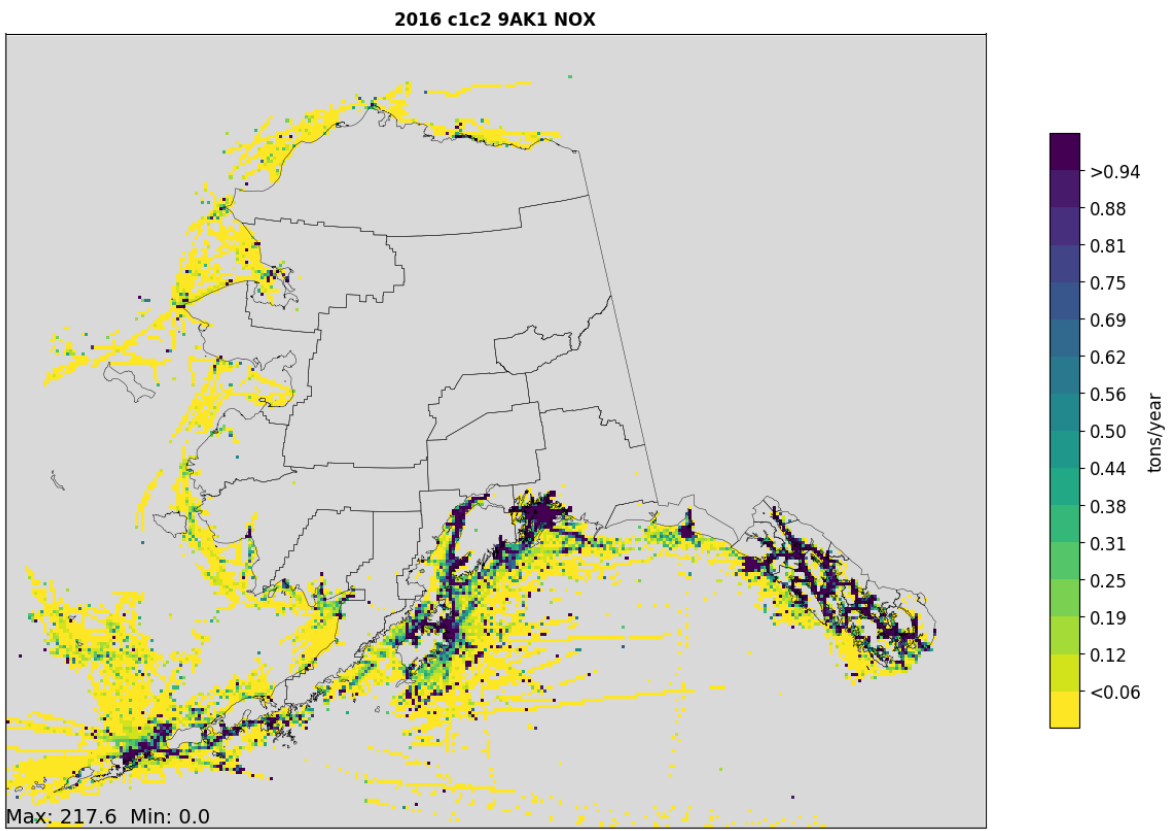


Figure 3. 9-km Gridded Annual Alaska cmv\_c1c2 NOx Emissions

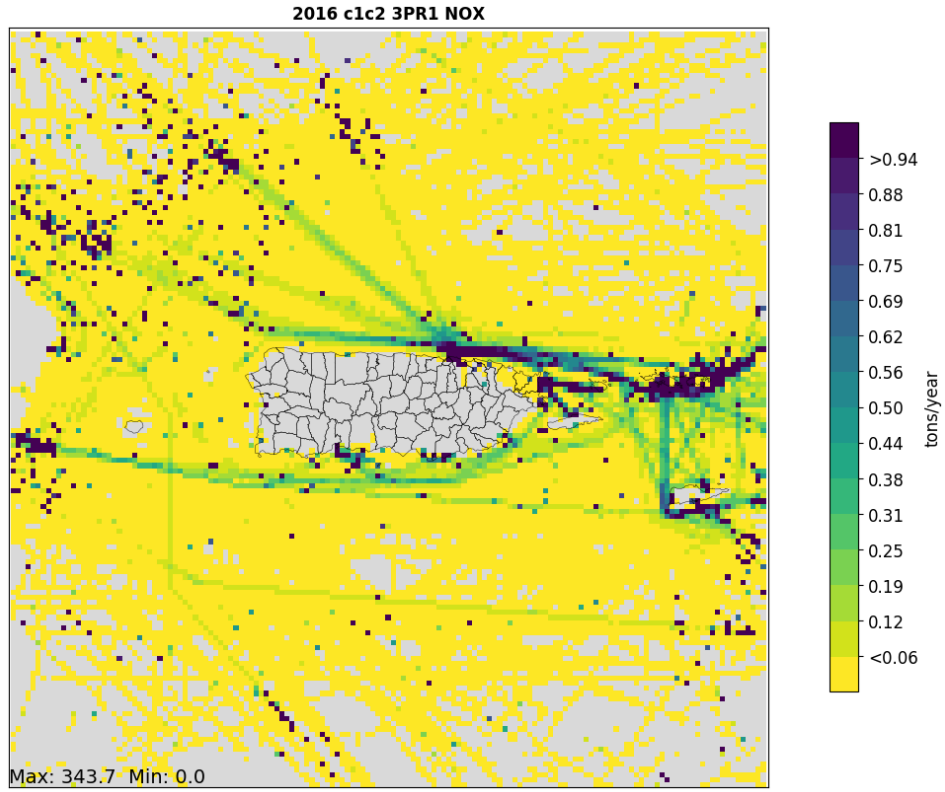


Figure 4. 3-km Gridded Annual Alaska Puerto Rico / Virgin Islands cmv\_c1c2 NOx Emissions